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S.I.R. REQUEST
Navy Case No. 76,736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jerry H. Ross
Serial No. 09/839,600
Filed: April 23, 2001
For: DIGITAL PREEMPHASIZER FOR
TRANSMISSION OF PCM CODES

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

September 7, 2001

TRANSMITTAL OF MISSING PARTS

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated June 7, 2001, transmitted herewith is the signed declaration of sole inventor Jerry H. Ross, and the return copy of Form PTO-1533. As indicated on the accompanying Form PTO/SB/17, kindly charge the one month extension fee of \$110.00, the surcharge fee of \$130.00, and the S.I.R. Request fee of \$920.00 to Deposit Account No. 50-0281, and kindly charge any additional fees due, or credit overpayment of fees to Deposit Account No. 50-0281.

10/16/2001 TV0111 00000002 09839600
03 FC:115 110.00 CH

Respectfully submitted,

John J. Karasek
Reg. No. 36,182
Ph. (202) 404-1552



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,600	04/23/2001	Jerry H. Ross	76,736

CONFIRMATION NO. 1490

FORMALITIES LETTER



OC000000006160143

STOCKSTILL, CHARLES J.
DEPT. OF THE NAVY, OFF. OF GEN. COUN.
NAVAL RES. LAB., CODE 3008.20
4555 OVERLOOK AVE., N.W.
WAHSINGTON, DC 20375

10/16/2001 TV0111 00000001 500281 09839600

01 FC:105 130.00 CH

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/10/2001 SDIRETA1 00000029 500281 09839600

~~01 FC:112 920.00 CH~~
~~02 FC:115 110.00 CH~~
~~03 FC:105 130.00 CH~~

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

10/16/2001 TV0111 00000002 500281 09839600

~~01 FC:101 710.00 CH~~
~~02 FC:104 270.00 CH~~*A copy of this notice **MUST** be returned with the reply.*Adjustment date: 10/16/2001 TV0111
09/10/2001 SDIRETA1 00000029 500281 09839600~~02 FC:115 110.00 CR~~
~~03 FC:105 130.00 CR~~

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Adjustment date: 10/22/2001 TV0111
10/16/2001 TV0111 00000002 500281 09839600~~01 FC:101 710.00 CR~~



PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1160.00)

Complete if Known

Application Number	09/839,600
Filing Date	April 23, 2001
First Named Inventor	Jerry H. Ross
Examiner Name	not assigned
Group Art Unit	not assigned
Attorney Docket No.	76,736

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0281
Deposit Account Name _____

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

101 710 201 355 Utility filing fee
106 320 206 160 Design filing fee
107 490 207 245 Plant filing fee
108 710 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES

Total Claims - 20** = X =
Independent Claims - 3* = X =
Multiple Dependent =

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20
102 80 202 40 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 80 209 40 * Reissue independent claims over original patent
110 18 210 9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	130.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	920.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	110.00
116 390 216 195		Extension for reply within second month	
117 890 217 445		Extension for reply within third month	
118 1,390 218 695		Extension for reply within fourth month	
128 1,890 228 945		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,240 241 620		Petition to revive - unintentional	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	
Other fee (specify) _____			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$ 1160.00)

SUBMITTED BY

Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182	Telephone	(202) 404-1552
Signature		Date	7 Sept 01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.